REDEMPTION REQUEST FORM

To,
BugleRock Capital private Limited
(formerly o3 Securities Private Limited)
Prestige Takht, 1st Floor, No.23,
Kasturba Cross Road,
Bangalore 560 001

Date: ☐☐☐☐☐☐☐☐

PMS Client Code: _____   Client Name: _____

Tick the Investment Approach : ☐ Core Value Concentrated   ☐ Core Value Regular   ☐ Multi-Asset Allocation

☐ Special Situations Portfolio   ☐ Growth Opportunities Portfolio   ☐ INCA

Dear Sir ∕ Madam,

☐ **PARTIAL REDEMPTION:** I/We wish to partially redeem Rs. _____ from the aforesaid portfolio. Kindly credit the proceeds to my/our account registered with you.

☐ **FULL REDEMPTION (Liquidation of Portfolio):** I/We request you to liquidate the aforesaid portfolio and transfer the proceeds to my/our Registered Bank account with you.

I/ We request you to make the payout to my/our below mentioned new bank account. Enclosed with this form is the proof of my / our new bank account.

| Bank Name | | Branch | |
| A/c no | | IFSC Code | |

Type of A/c.: Saving ☐   Current ☐   Others (Please Specify): _____

☐ **PARTIAL (Transfer of Securities):** I/We request you to transfer the following securities from my/our existing depository account registered with you towards partial redemption of aforesaid portfolio to my/our below mentioned depository account.

Sr. No.	ISIN	Scrip Name	Quantity

(Use separate annexure if space provided is not sufficient)

☐ **FULL (Transfer of Securities):** I/We request you to transfer the securities from my/our existing depository account registered with you towards full redemption of aforesaid portfolio to my/our below mentioned depository account:

The details of my / our depository account where the stocks to be transferred are as below:

Depository Name: _____   Type of Depository: NSDL ☐   CDSL ☐

DP ID: _____   Client ID: _____

I/We have read and understood the instructions & Checklist attached along with this form and confirm details filled are true and authentic.

I/We understand that the above request would be executed on best effort basis and at the prevailing market price.

Signature	x	x	x
Name			

Note:

**Partial redemption will be processed subject to portfolio value meets the minimum investment criteria of Rs.50 lakhs post redemption as per SEBI regulation.

**The redemption proceeds may vary from the requested amount and shall depend on the amount realised from the sale of securities.

**The Portfolio Manager commits to executing redemption transactions and paying the proceeds within T+10 working days where T represents the date on which the application is received at BugleRock Capital Private Limited.

**Upon full redemption of the PMS account, the Agreement with the Portfolio Manager shall be considered terminated, and the Power of Attorney granted in favor of the Portfolio Manager will be revoked on complete revocation of POA with the bank/ depository .

**In the event of a stock transfer, any shortfall in the fees due will be recovered by selling stocks equivalent to the amount of the shortfall as a default procedure.

DOCUMENTATION CHECKLIST:

Type of Redemptions	Requirements
For all types of Redemptions	1. Filled Request form 2. Amount to be filled clearly.
Full Redemption via Stock Transfer	1. Client demat details to be filled, where stocks to be transferred. 2. Clear Self attested CML copy/Demat Statement of counter depository, where stocks to be transferred. 3. First holder should be a holder in the Target Demat. 4. Duly signed Demat Closure Form (For Full redemptions)
Redemptions (Along with Change of Bank Mandate)	1. New bank details to be filled in form. 2. Original Personalised Cancelled Cheque OR 3. Banker Attested Statement copy.