

REDEMPTION REQUEST FORM

To,
o3 Securities Private Limited
No.5 Crescent Road,High Grounds,
Bangalore-560 001

Date:

PMS Client Code: Client Name:

Tick the Investment Approach : Core Value Concentrated Core Value Regular Multi-Asset Allocation
Special Situations Portfolio Thematic Opportunities Portfolio

Dear Sir / Madam,
PARTIAL REDEMPTION: I/We wish to partially redeem Rs. from the aforesaid portfolio. Kindly credit the proceeds to my/our account registered with you.
FULL REDEMPTION (Liquidation of Portfolio): I/We request you to liquidate the aforesaid portfolio and transfer the proceeds to my/our Registered Bank account with you.

The details of my / our new bank account are as below:
Bank Name Branch
A/c no IFSC Code
Type of A/c.: Saving Current Others (Please Specify):

PARTIAL (Transfer of Securities): I/We request you to transfer the following securities from my/our existing depository account registered with you towards partial redemption of aforesaid portfolio to my/our below mentioned depository account.

Sr. No.	ISIN	Scrip Name	Quantity

(Use separate annexure if space provided is not sufficient)

FULL (Transfer of Securities): I/We request you to transfer the securities from my/our existing depository account registered with you towards full redemption of aforesaid portfolio to my/our below mentioned depository account:

The details of my / our depository account where the stocks to be transferred are as below:

Depository Name: Type of Depository: NSDL CDSL
DP ID: Client ID:

I/ We have read and understood the instructions & Checklist attached along with this form and confirm details filled are true and authentic.
I/ We understand that the above request would be executed on best effort basis and at the prevailing market price.

Signature			
Name			

Note: Partial redemption will be processed subject to portfolio value meets the minimum investment criteria of Rs.50 lakhs post redemption as per SEBI regulation.
**The redemption proceeds may vary from the requested amount and shall depend on the amount realised from the sale of securities.

DOCUMENTATION CHECKLIST:

Type of Redemptions	Requirements
For all types of Redemptions	<div>1. Filled Request form</div> <div>2. Amount to be filled clearly.</div>
Full Redemption via Stock Transfer	<div>1. Client demat details to be filled, where stocks to be transferred.</div> <div>2. Clear Self attested CML copy/Demat Statement of counter depository, where stocks to be transferred.</div> <div>3. First holder should be a holder in the Target Demat.</div> <div>4. Duly signed Demat Closure Form (For Full redemptions)</div>
Redemptions (Along with Change of Bank Mandate)	<div>1. New bank details to be filled in form.</div> <div>2. Original Personalised Cancelled Cheque OR</div> <div>3. Banker Attested Statement copy.</div>