

Seal/Stamp of Intermediary

PART II OTHER DETAILS

1. BANK ACCOUNT DETAILS

Bank Name: Branch :
 Branch Address :
 Bank Account Number: Account Type: SB ☐ CA ☐
 MICR Code IFSC Code

2. DEMAT ACCOUNT DETAILS: (In case the client doesnot have DP account, this column may be crossed)

☐ CDSL DP Name: DP ID
☐ NSDL BO Name: BO ID

3. Whether DP account is also to be opened with the same intermediary? Yes ☐ No ☐

4. Trading Preferences: Please sign the relevant boxes where you wish to trade

EXCHANGE	NSE	BSE	MCX-SX
SIGN	3(i) 	3(ii) 	3(iii) 

5. Mode of receiving contract note/statement of account:

Physical ☐ Electronic ☐ E-Mail Id:

6. Standing instruction to receive credits automatically into my BO account Yes ☐ No ☐

7. Nomination Details

Name of the Nominee: PAN No
 Address:
 City/Town/Village: Pin Code: State: Country:
 Email ID: Phone No:
 Relationship with the Nominee :

If the nominee is a minor , details of the Gaurdian

Name of the Gaurdian:
 Address:
 City/Town/Village: Pin Code: State: Country:
 Email ID: Phone No:
 Relationship with the Minor: Signature of the Gaurdian.....

I have understood the contents of the policy & procedures document, tariff sheet, 'Rights & Obligations' document and 'Risk Disclosure' Document. I do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for information on stock broker's designated website.

Date:.....

Signature of the applicant :.....


FOR OFFICE USE ONLY

UCC Code allotted to the Client: -----

☐ CDSL ☐ NSDL	DP Name: DP ID
	BO Name: BO ID

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature			

I / We undertake that I/we have made the client aware of 'Policy and Procedures', tariff sheet. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

If the client chooses to avail the demat facility from the same stock broker who is also a depository participant, the stock broker may use the same form and provide the details of the demat account opened for the said client to the client while providing a copy of the KYC documents.

Signature of the Authorised Signatory

Date

Seal/Stamp of the stock broker

NOTE: This form is applicable for individual investors trading the cash segment. If such investors wish to trade in segments other than cash segment and / or wish to avail facilities such as internet trading, running account, margin trading, Power of Attorney etc., they may furnish additional details required as per prescribed regulations to the concerned intermediary.