

Principal Officer		
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Compliance and Grievance Redressal Officer		
Name	Neha Sharma	
Contact No	+91 9131487806	
Email ID	neha.sharma@buglerock.asia	

BugleRock Bhuvi Office Address
Prestige Takt, 1st Floor, No. 23, Kasturba Road Cross, Bengaluru – 560 001

SEBI Office Address
SEBI Bhavan, Plot No. C-4 A, G Block, Bandra Kurla Complex, Bandra East, Mumbai 400051

Disclaimer: Investment in securities market are subject to market risks. Read all related documents carefully before investing. Registration granted by SEBI, membership of BASL and certification from NISM in no way guarantee performance of the intermediary or provide any assurance of returns to investors.

Redressal of Client Grievances

Learn how to raise, escalate, and resolve your investment-related complaints.

Investors are entitled to make a complaint in writing, orally or telephonically directly to BugleRock Bhuvi Investment Advisers Private Limited. Investors that are serviced by independent advisors or distributors can also raise their complaints through them.

It is mandatory for any investor having grievances to take up the matter directly with BugleRock Bhuvi before approaching regulatory bodies. BugleRock Bhuvi shall endeavour to redress the Investor complaint(s) within within 21 (twenty-one) calendar days from the date of the receipt of the complaint by BugleRock Bhuvi.

For complaints related to IA services the Investors can directly reach BugleRock Bhuvi through:

Email at:	Phone at	Letters at:	
ria@buglerock.asia	Neha Sharma	Prestige Takt, 1st Floor, No. 23,	
compliance.ria@buglerock.asia	+91 9131487806	Kasturba Road Cross, Bengaluru -	
		560 001	
	Pranav Shenoy		
	+91 7411260904		



BugleRock Bhuvi shall endeavour to redress the Investor complaint(s) within 21 (twenty-one) calendar days from the date of the receipt of the complaint by BugleRock Bhuvi.

If investors are still not satisfied with the response from BugleRock Bhuvi, they can lodge their grievances with SEBI at https://scores.sebi.gov.in/scores-home/ or may also write to any of the offices of SEBI or contact SEBI Office on Toll Free Helpline at 1800 266 7575 / 1800 22 7575. The complaint shall be lodged on SCORES within one year from the date of cause of action, where the complainant has approached BugleRock Bhuvi, for redressal of the complaint and

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☐ BugleRoc	k Bhuvi has reje	ected the comp	laint or,		
☐ The comp	olainant has not	received any o	communicatio	n from BugleRock Bhu	ıvi or,
☐ The comp BugleRock I		satisfied with	the reply rece	ived or the redressal	action taken by

SCORES may be accessed through SCORES mobile application as well, same can be downloaded from <u>Google Play Store</u> or <u>Apple App Store</u>. If the investor is not satisfied with the extent of redressal of grievance by BugleRock Bhuvi, there is a one-time option for "review" of the extent of the redressal, which can be exercised within 15 days from the date of closure of the complaint on SCORES. Thereafter, the complaint shall be escalated to the supervising official of the dealing officer of SEBI.

After exhausting all aforementioned options for resolution, if the client is not satisfied, they can initiate dispute resolution through the <u>Online Dispute Resolution Portal (ODR)</u>.

Alternatively, the client can directly initiate dispute resolution through the ODR Portal if the grievance lodged with the Portfolio Manager is not satisfactorily resolved or at any stage of the subsequent escalations mentioned above.

The dispute resolution through the ODR Portal can be initiated when the complaint/dispute is not under consideration in SCOREs guidelines or not pending before any arbitral process, court, tribunal or consumer forum or are non-arbitrable in terms of Indian law.